

# **APEC Key Elements for Effective Export Control Systems**

## **Enforcement, Investigation, and Prosecution**

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# Enforcement, Investigation, and Prosecution

- Policies, laws, and regulations to provide enforcement agencies the mission, authority, training, and resources necessary to detect, identify, and stop transfers that violate export control laws and regulations, as well as to investigate and prosecute export control violators.
- Legal authorities to permit routine, advance review of detailed manifest data to analyze for suspicious transfers.

# Enforcement, Investigation, and Prosecution

- Procedures to apply risk management and targeting strategies, using trade information and intelligence, to detect suspect transfers and to minimize impediments to legitimate trade.
- Effective cooperation among policy officials, enforcement agencies, licensing officials, technical experts, and intelligence agencies to establish whether specific transfers involve items or transactions that are controlled or are contrary to member economies' interests.

# Enforcement, Investigation, and Prosecution

- Cooperation among agencies responsible for export controls, including those responsible for licensing, investigation, and prosecution to ensure that laws are enforced effectively.
- Use of inspection techniques and equipment to identify potential transfers of proliferation concern.