

**Sixth International Export Control Conference
London, United Kingdom
November 8-10, 2004**

DRAFT AGENDA

Monday, November 8

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|-----------|---|
| 0845-0945 | Registration at United Kingdom Department of Trade and Industry |
| 0945-1000 | Administrative Remarks
Paul van Son
Director of the Office of Export Control Cooperation
Bureau of Nonproliferation
U.S. Department of State |
| 1000-1010 | Welcome Remarks
Glyn Williams
Director of the Export Control Organization
U.K. Department of Defence Trade and Industry |
| 1010-1025 | Baroness Symons (UK)
Minister
U.S. Foreign and Commonwealth Office |
| 1025-1040 | Susan Burk
Acting Assistant Secretary
Bureau of Nonproliferation
U.S. Department of State |
| 1040-1100 | Coffee Break |
| 1100-1120 | Proliferation Threat Brief
Edward Oakden
Director, Defence and Strategic Threats Directorate
U.K. Foreign Commonwealth Office |
| 1120-1140 | Proliferation Threat Brief
Leonard Spector
Deputy Director
Monterey Institute Center for Nonproliferation Studies
(Non-Governmental Organization) |
| 1140-1150 | Proliferation Networks
Michele Lee |

Office of Export Control Cooperation
Bureau of Nonproliferation
U.S. Department of State

1150-1235 Update on International efforts to combat the spread of WMD

David Landsman
UNSCR 1540, IAEA, EU?
Head, Counter Proliferation Department
U.K. Foreign Commonwealth Office

Joseph Pritchard
G-8, 1540, OSCE (Manpads), prevent smuggling,
NATO SGP
Office of Policy, Public Affairs and Congressional Relations
Bureau of Nonproliferation
U.S. Department of State

1235-1400 Lunch

1400-1430 Multilateral Approach to Export Controls

Andrew Wood
Head, Proliferation and Arms Control Secretariat
U.K. Ministry of Defence

Mark Fry
Senior Assistant
Wassenaar Arrangement

1430-1500 Update on recent ITT Conference
George Pietsch
Deputy Director General
Federal Office of Economics and Export Control
Germany

1500-1545 The Role of Cyber Crime Investigators in export controls
Investigations
James Plitt
Cyber Center
Bureau of Immigration and Customs Enforcement
U.S. Department of Homeland Security

1545-1630 Non-governmental Organization Aids to export control licensing
and enforcement
University of Georgia

Monterrey Institute
Saferworld
SIPRI

- 1630-1645 Break
- 1645-1715 Supply Chain Security
John Badley
Deputy Head
Restructions and Sanctions Team Policy Group
HM Customs and Excise
- 1715-1800 Challenges: Ethics and Corruption relating to export controls and related border security
Anne-Charlotte Wetterwik
Section of Strategic Export Controls
Ministry of Foreign Affairs
Sweden
- 1830-2030 Photo and reception
Locarno Room
Hosted by Baroness Symons?
U.K. Foreign and Commonwealth Office

Tuesday, November 9

- 0900-1045 Break-out Group Discussions
- 1045-1115 Break
- 1115-1230 Continuation of break-out group
- 1230-1400 Lunch
- 1400-1530 Continuation of break-out group
- 1530-1545 Break
- 1545-1700 Continuation of break-out group
- 1830-2030 U.S.-hosted reception - TBD

Wednesday, November 10

0900-1045	Break-out Group Discussions
1045-1115	Break
1115-1230	Continuation of break-out group
1230-1400	Lunch
1400-1530	Continuation of break-out group
1530-1600	Break
1600-1645	Report to Plenary of Break Out Groups and Discussions
1645-1700	Chair's Summary and Closing Remarks

Topics for Policy Break-out Group

Facilitators: Andrew Wood
Head, Proliferation and Arms Control Secretariat
U.K. Ministry of Defence

Claudius Fischbach
Deputy Office Director, Export Controls
Ministry of Foreign Affairs
Germany

Format: Short (10- minute introduction of issue) followed by discussion of topic:

Day 2 (Tuesday)

- 0900-0945 Importance of export controls to a nations economic growth and security
Mi Yong Kim
Bureau of Industry and Security
U.S. Department of Commerce
- 0945-1030 How can nations work with multilateral regimes to update Control lists
and adhere to the control lists and adopt or become signatories to
the ICOC
Andrew Wood
Head, Proliferation and Arms Control Secretariat
U.K. Ministry of Defence
- 1030-1045 Coffee Break
- 1045-1120 Proliferation Security Initiative (PSI) as a tool
Amy Gordon
Office of Regional Affairs
Bureau of Nonproliferation
U.S. Department of State
- 1120-1200 Export Controls and the problem of Secondary Proliferation Networks
Adam Scheinman
U.S. Department of Energy
- 1200-1230 What new multilateral instruments might be needed to cover WMD
proliferation, including MANPADS and advanced conventional weapons
Andrew Wood
Head, Proliferation and Arms Control Secretariat
U.K. Ministry of Defence
- SIPRI, Ian Anthony (Non-governmental Organization)

- 1230-1400 Lunch
- 1400-1445 Continuation of discussion on new multilateral instruments on how to improve controls brokering
Margaret Mitchell
Office of Export Control and Nonproliferation
Bureau of Nonproliferation
U.S. Department of State
- 1445-1530 How to strengthen catch-all controls
Mi Yong Kim
Bureau of Industry and Security
U.S. Department of Commerce
- 1530-1545 Break
- 1545-1630 How to approach controls on intangible transfers
George Pietsch
Deputy Director General
Federal Office of Economics and Export Control
Germany

Day 3 (Wednesday)

- 0900-1000 How do we address corruption issues
Anne-Charlotte Wetterwik
Section of Strategic Export Controls
Ministry of Foreign Affairs
Sweden
- 1000-1045 Bilateral work with governments to improve export controls
- Paul van Son
Director of the Office of Export Control Cooperation
Bureau of Nonproliferation
U.S. Department of State
- Marc Defrennes
European Union
- Japan, P contacted both, waiting for response)
- Possible Australian brief
- 1045-1115 Coffee Break

- 1115-1230 Importance of UNSCR 1540
Joseph Pritchard
Office of Policy, Public Affairs and Congressional Relations
Bureau of Nonproliferation
U.S. Department of State
- 1230-1400 Lunch
- 1400-1530 Future Challenges – Wrap-Up
-- (dual-use) brokering
-- accountability to parliaments for export controls
-- transparency
Andrew Wood and Claudius Fischbach
Facilitators
- 1530-1545 Coffee Break
- 1540-1700 Return to Plenary

Topics for Licensing Break-out Group

Facilitators: Spencer Chilvers
Head, Policy Unit
Export Control Organization
U.K. Department of Trade and Industry

Alex Lopes
Bureau of Industry and Security
U.S. Department of Commerce

Format: Short (10- minute introduction of issue) followed by discussion of topic:

Day 2 (Tuesday)

- 0900-1015 Screening for end-use or end-user, including “red flag”
Adam Scheinman
U.S. Dept of Energy
- Alex Lopes
Bureau of Industry and Security
U.S. Department of Commerce
- 1015-1115 NGO or other government products to help make licensing decisions
Kelly Motts
Wisconsin Project, Non-governmental Organization
- 1115-1130 Coffee Break
- 1130-1215 Standardizing information
Spencer Chilvers
Head, Policy Unit
Export Control Organization
U.K. Department of Trade and Industry
- 1215-1345 Lunch
- 1345-1430 Licensing of intangible transfers, deemed export
Alex Lopes
Bureau of Industry and Security
U.S. Department of Commerce
- 1430-1515 Catch-All
Irina Albrecht

Deputy Head of Division
Basic and Procedural Questions, BAFA
Federal Office of Economics and Export Control
Germany

1515-1530 Coffey Break

1530-1630 Outreach to Industry
Spencer Chilvers (please confirm)
Head, Policy Unit
Export Control Organization
U.K. Department of Trade and Industry

1630-1700 Product classification, Product Identification Tool
Christopher Barrell
Technical Advisor
U.K. Department of Trade and Industry

Day 3 (Wednesday)

0900-1045 Case Study that will cover issues of interagency review process, dispute resolution, escalation process, appeals for license denials
Spencer Chilvers (please confirm)
Head, Policy Unit
Export Control Organization
U.K. Department of Trade and Industry

1045-1115 Coffee Break

1115-1230 Case Study, continue

1230-1400 Lunch

1400-1530 Future Challenges and ideas,
-- Technology transfer
-- how to license new technology
--the establishment of a professional corps of licensing export control officials

Spencer Chilvers and Alex Lopes
Facilitators

Michael Beck
University of Georgia, Non-governmental Organization

1530-1545 Coffee Break

1545-1700 Return to Plenary

Topics for Enforcement Break-out Group

Facilitators: John Badley
Deputy Head
Restrictions and Sanctions Team Policy Group
HM Customs and Excise

Michael Vanacore
Bureau of Immigration and Customs
U.S. Department of Homeland Security

Format: Short (10-minute introduction of issue) followed by discussion of topic:

Day 2 (Tuesday)

0900-0945 Building an Enforcement Strategy
John Badley
Deputy Head
Restrictions and Sanctions Team Policy Group
HM Customs and Excise

U.S. Enforcement Strategy
Michael Vanacore
Bureau of Immigration and Customs
U.S. Department of Homeland Security

- political commitment by senior officials
- legal authorities (i.e. authority to inspect, investigate, seize asset, and forfeiture, and impose penalties, and pursue criminal prosecutions)
- trained personnel
- adequate enforcement equipment

1045-1115 Coffee Break

1115-1230 Risk management process – balance between controls and legitimate trade
Phillip Warker
Bureau of Customs and Border Protection
U.S. Department of Homeland Security

- collection of information
- analysis of information collected
- identification of shipments to be inspected
- conduct of inspection
- analysis of the examination findings

- 1230-1400 Lunch
- 1400-1530 Use of Community Outreach Programs as an Investigative Technique in Export Control Investigations
Richard Halverson
Acting Attache, Embassy London
Bureau of Immigration and Customs Enforcement
U.S. Department of Homeland Security
- Rick Shimon
Bureau of Industry and Security
U.S. Department of Commerce
- 1530-1545 Coffee Break
- 1545-1700 International Cooperation to promote information sharing, conduct investigations, and facilitate border operations
Richard Halverson
Acting Attache, Embassy London
Bureau of Immigration and Customs Enforcement
U.S. Department of Homeland Security
- Rick Shimon
Bureau of Industry and Security
U.S. Department of Commerce

Describe and contrast the different methods of cooperation (Letters Rogatory, MLAT and police channels). For talking point, we can highlight the advantages and challenges inherent in each system

Day 2 (Wednesday)

- 0900-1015 Interagency cooperation – relationship between policy makers, licensing authorities, and enforcement agencies to ensure timely and appropriate responses
Michael Vanacore
Bureau of Immigration and Customs
U.S. Department of Homeland Security
- Peony Leung
Principal Trade Officer
Strategic Trade Controls Branch
Trade and Industry Department

The Government of the Hong Kong Special Administrative Region

- 1015-1130 Coffee Break
- 1130-1215 Practices and techniques to combat corruption
Anne-Charlotte Wetterwik
Section of Strategic Export Controls
Ministry of Foreign Affairs
Sweden
- 1215-1345 Lunch
- 1345-1515 Future Strategy
-- intangible transfer
-- scope of targeting
-- international information sharing
-- multilateral/regional training
-- strategic training for law enforcement officials
John Badley and Michael Vanacore
Facilitators
- 1515-1530 Coffee Break
- 1530-1700 Return to Plenary – Report to Chairman
- 1700 Conclusion of Conference