

Role of NGOs in National Export Control Efforts -- China Perspective

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Introduction of CACDA

The China Arms Control and Disarmament Association (CACDA)

founded August 2001 in Beijing, is a nation-wide non-profitable, non-governmental organization in China in the area of arms control, disarmament and nonproliferation. Its aim is to organize and coordinate academic research and non-governmental activities regarding arms control, disarmament and nonproliferation so as to help promote the international arms control and nonproliferation process to safeguard world peace.



Outline

- I. Chinese Export Control (XC) System and Update
- II. History of Chinese XC and Emergence of XC NGOs
- III. NGO's Role and Activities in China's nonproliferation XC efforts
- IV. Challenges and Opportunities

China's Export Control System and Update

- China's nonproliferation export control policy and stands
- China's export control mechanism:
 - Legal framework
 - Control list
 - Export Registration System
 - Licensing system
 - Inter-agency coordinating system and Fast reaction system
 - End-use and end-user guarantee
 - Catch-all principle
 - Enforcement & punishments and penalties
 - Social awareness and compliance
 - International cooperation

China's Export Control System and Update

Update:

- Revised Regulations
- Revised Control List
- New Measures
- Guideline for ICP
- NEW Comprehensive Licencing and Guideline

History of Chinese XC and Emergence of XC NGOs

Chinese NGOs and think tanks in nonproliferation export control fields:

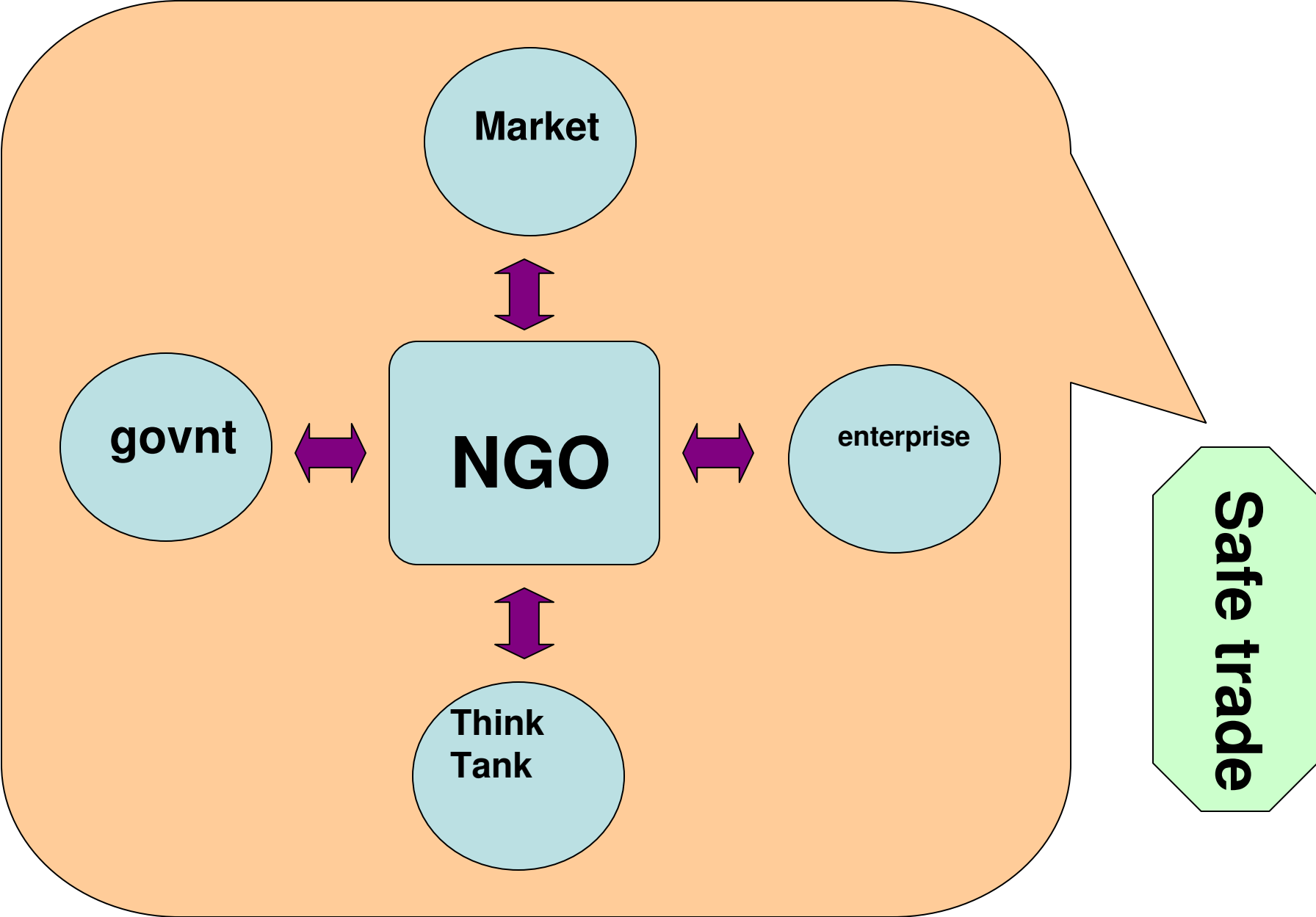
- China Arms Control and Disarmament Association
- Chinese People's Association for Peace and Disarmament
- China Institute of International Studies
- China Institute of Contemporary International Relations
- School of International Studies, Peking University
- Institute of International Studies, Tsinghua University
- Center for American Studies, Fudan University
- Center for Arms Control and Disarmament Studies, Institute of American Studies, Chinese Academy of Social Sciences

History of Chinese XC and Emergence of XC NGOs

XC NGO Emergence in China

1. 1995, China Controlled Chemicals Association
2. 2001, China Arms Control and Disarmament Association
3. 2007, export control consulting and information service in private sector
4. 2009, Secretariat, China Export Control Experts Committee, Chinese Academy of International Trade and Economic Cooperation

NGO role: research, implementation, education



New Challenges to Export Controls

1. Globalization implication
 2. Trade volume increase, district intergration, dual-use/high-tech trade boost
 3. Dual-use/high tech lower threshold, military and civilian tech diluted
 4. Difference on XC among countries
 5. WMD proliferation and possilbe acquisition by terrorists
 6. Energy demand and nonproliferation
 7. Transnational crime
 8. Supplier chain management
- **NGO contributes to effectiveness of an export control system.**

New Challenges to Export Controls

- Chinese enterprises facing XC challenges
 1. Go global
 - 2, Chinese companies business and XC practices
 - 3, Chinese companies risks in other countries due to differences on XC between countries

**NGO role: education, bridge
between government -- industry,
Chinese government -- foreign government,
Chinese industry -- foreign government**

NGO's Role and Activities in China's XC efforts

- 1. Basic Roles
 - Research, analysis and report
 - Disseminating information
 - Bridge between government and enterprises
 - Seminars, training programs, educating
 - Consulting and problem solving
 - Communication platform and community fostering
- AND
 - Facilitating and promoting bi/multilateral cooperation
 - De-politicization
 - Government's alliance and
 - Participation in international XC development

NGO's Role and Activities in China's XC efforts

CACDA's Export Control Activities:

- Research & Report
- Training in export controls for dual-use product exporting industry, Chinese nonproliferation policy and export control mechanism, international nonproliferation efforts and export control regimes, international export control best practices, other countries' export control system and practices...
- Training in industry compliance program and assisting in developing and operating internal compliance program
- Fostering an export control community in China, including academic circles, government-industry communications and export control consulting organizations

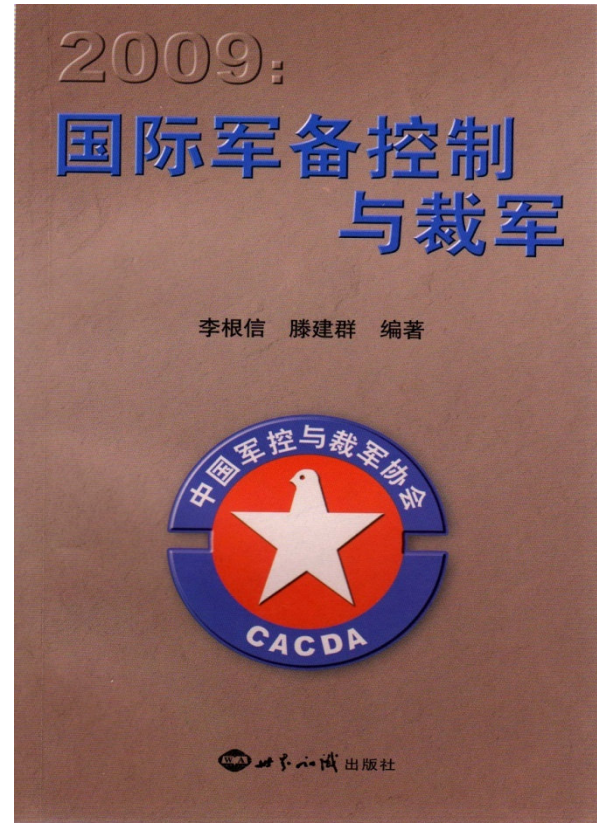
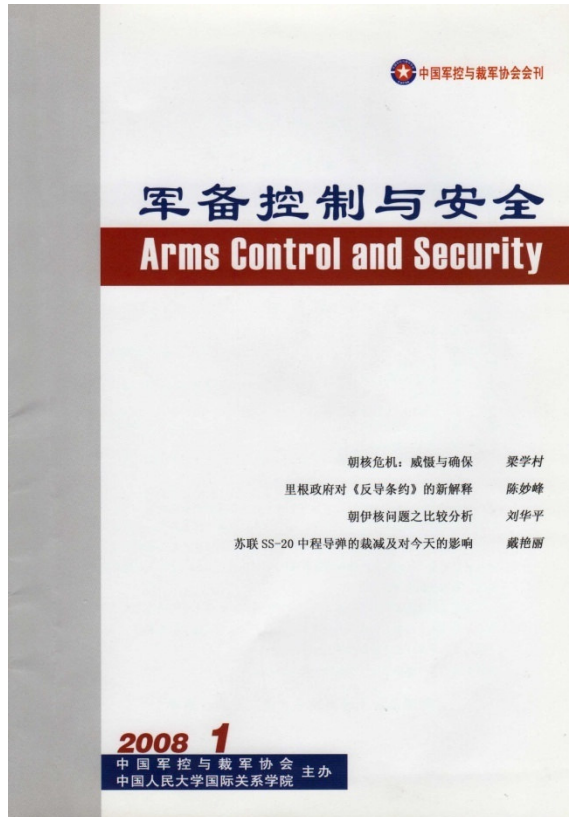
- Bridge and platform
- Operation model

NGO's Role and Activities in China's XC efforts



CACDA: Research & Reports

NGO's Role and Activities in China's XC efforts



CACDA: Research & Reports

NGO's Role and Activities in China's XC efforts



Outreach Seminars For Government Officials and Industry

NGO's Role and Activities in China's XC efforts



Platform

Challenges and Opportunities

- Web-based seminars
- Database
- Problem solving
- Channel and platform



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Thank you □

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