

Role and Activities of NGO on Export Control

10th International Export Control Conference – Istanbul 2009

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JAPAN**

Overview

1. Basic Requirements for Effective Export Controls
2. Role of our organization
3. About CISTEC
4. Main Activities
5. Pressing Issue on Export Controls



1. Basic Requirements for Effective Export Controls

❖ Actions by Government

- Legal Frameworks and Establishment of structures to implement
- Outreach and Educational Services to the Industry and “Universities”
- Provision Appropriate and Updated Information
- Enforcement of Laws and Regulations

❖ Cooperation by Industry

- Compliance and Voluntary Controls

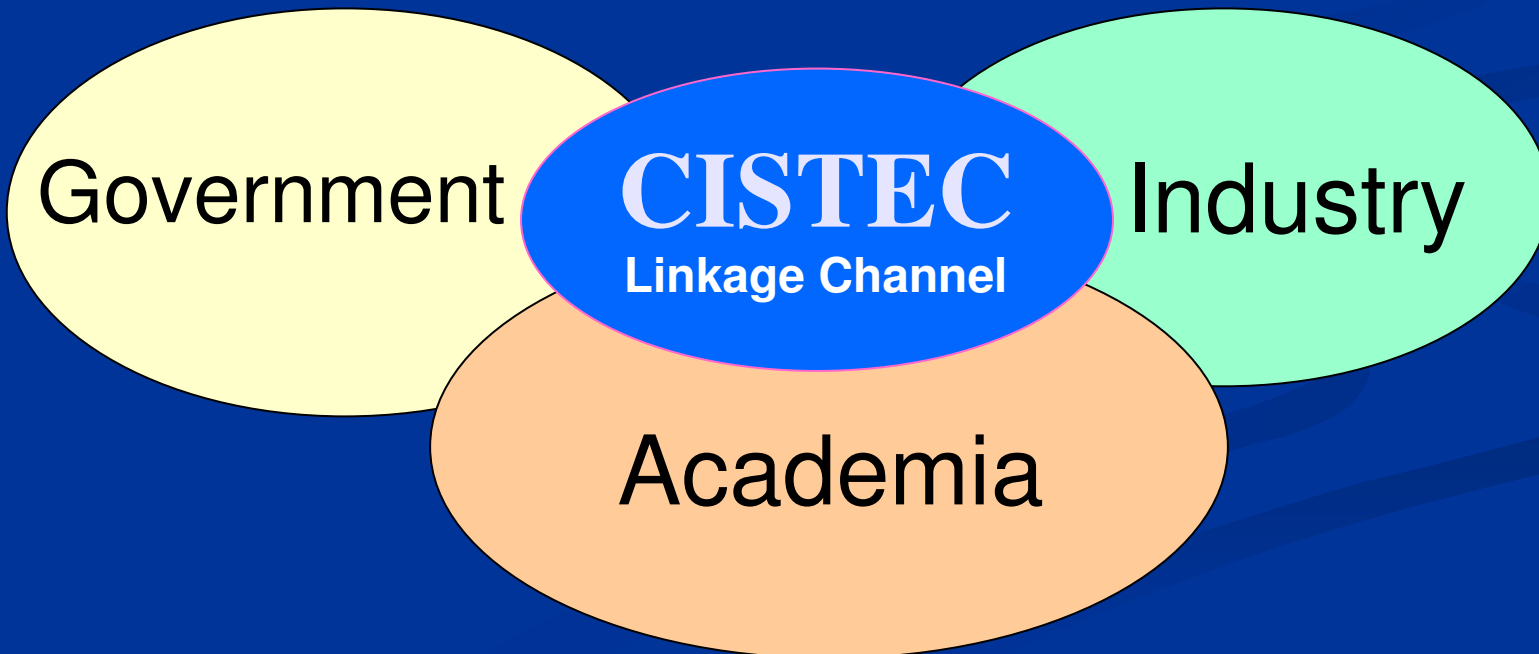
❖ Enhancement for International Cooperation

2. Role of our organization

- ❖ “Linkage Channel” for Government, Industry, and Academia
- ❖ Support the Voluntary Export Controls of Businesses
- ❖ Provide more vital and updated information
- ❖ Promote international cooperation

3. About CISTEC

- ❖ The only Non-Profit and Non-Governmental Organization in Japan specializing in Export Controls
- ❖ To promote reasonable and effective security export control system in Japan



3.1 Basic Data

❖ **Establishment: April 1989**

- With basic fund mainly from the industry

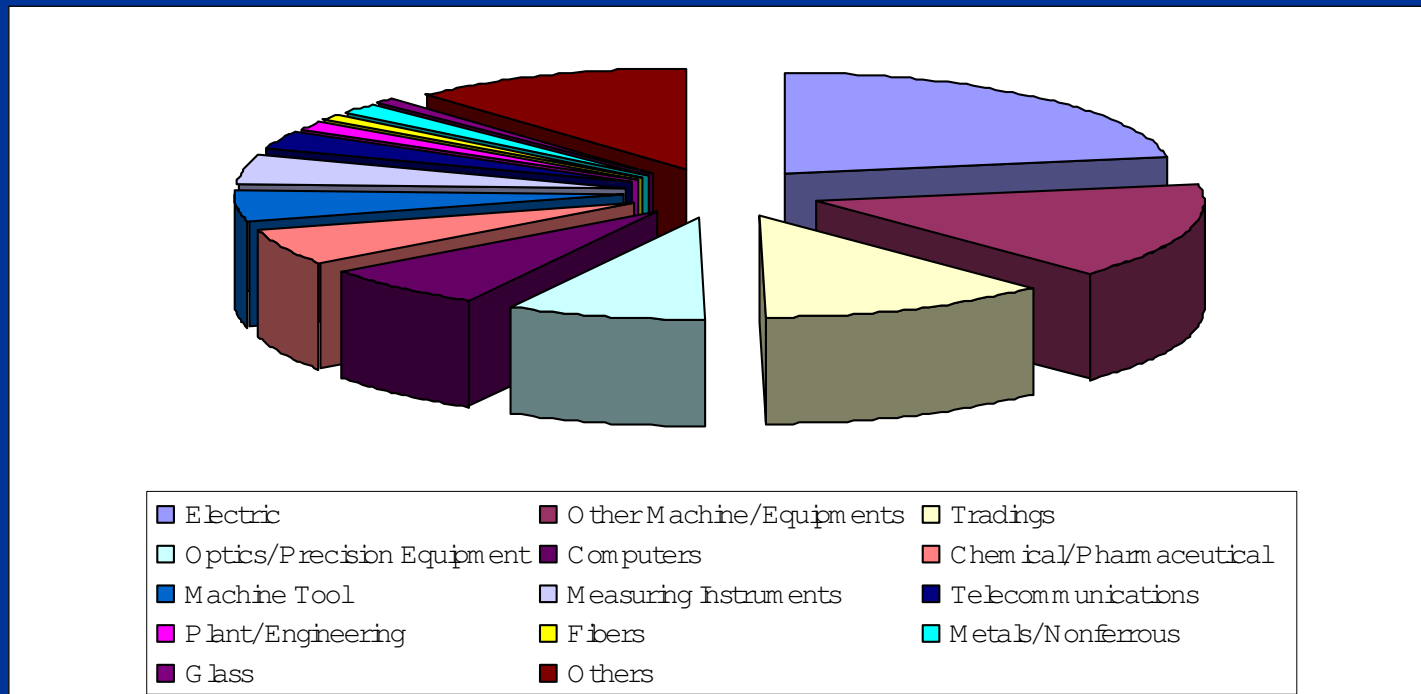
❖ **Number of Staff: 42**

❖ **Financial Base**

- Annual Budget: 600 Million Yen
- Annual Membership Fee: Associated members
- Japanese Government Contract
- Revenue from Publication, Database service, Seminars, etc.

3.1 Basic Data (Continued)

❖ Number of Associated Members:
353 companies



3.2 Organization

Industry Participation



4. Main Activities

- 4.1 Research & Analysis
- 4.2 Seminars & Consultations
- 4.3 Publishing for Educational Materials
- 4.4 Database Services
- 4.5 International Cooperation
- 4.6 Export Control Research Committees

4.1 Research & Analysis

- ❖ Global & Regional Security-Related Issues
- ❖ Fundamental Data on Industrial Products and Technologies for Security Export Controls
- ❖ Legal Systems on Security Export Controls and their Implementations in Japan & Abroad
- ❖ Industry's Opinion through Committee Activities to Propose Recommendations to Japanese Govern't & the Associated Industry

4.2 Seminars & Consultations(1)

(FY 2008)

❖ **General Seminars on Export Controls**

44 times

- Basic Course (Procedures, License, Classification)
- Management Course
- Theme-Oriented Courses

(US Re-Export Controls, EU Export Controls, Export Controls for Overseas Subsidiaries/Affiliates)

❖ **Seminars on Regulations Changes**

3 times

❖ **Dispatching Speakers (In-house training)**

53 times to 39 entities

4.2 Seminars & Consultations(2)

CY 2008

❖ Consultations on Individual Export Cases

- Face-to-Face Consultation 430 cases
- E-mail-based Consultation 511 cases



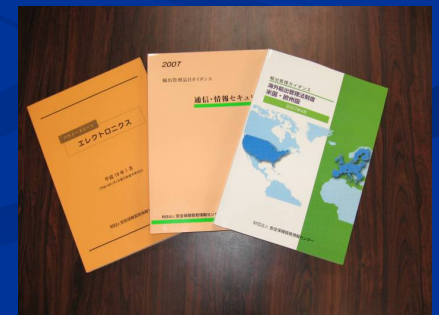
4.3 Publishing for Educational Materials

❖ Guidance and Manuals

- Laws, Regulations and Interpretations
- Item-wise Guidance on Export Controls (14)
- Parameter Sheets for Commodity Classification (7)
- Manuals for Licensing Application Procedures (2)

❖ Publishing Guidance for Voluntary Controls of Companies

- Guidance on Model ICP
- Guidance for Overseas Subsidiaries/Affiliates
- Laws and Regulations of US, Europe, Asia
- US EAR with Q & A and Case Studies
- *Catch-all Controls*
- *Comprehensible Export Control Guidance*
- Video & DVDs for In-house Trainings



4.4 Database Download Services

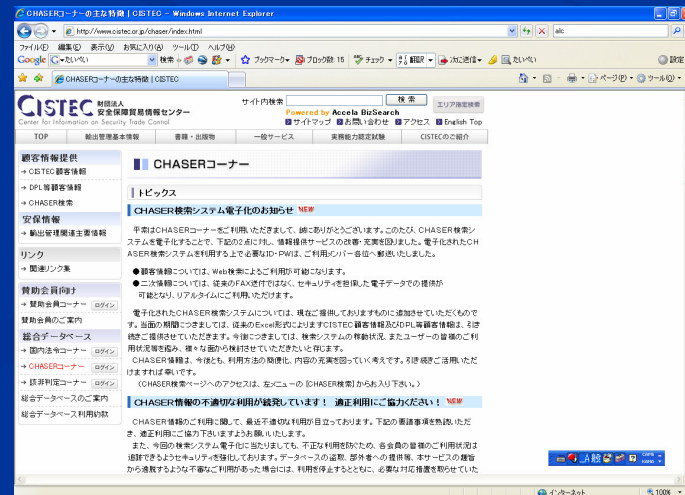
❖ Japanese Relevant Laws & Regulations

(Laws, Cabinet Orders, Ministerial Ordinances, Notices, Licensing Application Forms, etc.)

❖ Information on End-Users

❖ Digitalized Parameter Sheets for Commodity Classification

❖ Export Control-Related News Clippings (US, European and Asian news)



4.5 International Cooperation

❖ Asian Export Control Seminar

- Annually held in Tokyo since October, 1993
Last one (16th) was held in February, 2009

❖ Training Course for Asian Government Officials

- Annually held in Tokyo since November 1999.
Last one (10th) was held in November 2008.

❖ Industry Outreach Seminars in Asia

- Seminars: Beijing (Mar. '04), Seoul (Feb. '05), Taipei (Mar. '06),
Seoul & Hong Kong (Sep. '06), Bangkok & Manila (Feb.'07), Mumbai (Feb. '08),
Kuala Lumpur (Mar. '08), Jakarta (Oct. '08), Seoul (Oct. '08),
Hong Kong (Dec.'08)
- Dispatching Speakers: Islamabad (May '05), Singapore (May '05),
Seoul (Nov. '05), New Delhi (Jul. '06), Beijing (Nov. '06), Seoul (Dec.'07),
Taipei (Jan.'08),

4.6 Export Control Research Committee (1)

❖ **Function & Activities**

- Serve as a linkage for Industry and Govern't in Japan providing opportunities for friendly/or open discussions on various export control issues
- Collect the Industry's opinions and transmit the proposals to Japanese government and the associated industry.
- Prepare Export Control Resources such as manuals, guidance, parameter sheets etc. for users
- Dispatch speakers to workshops
- Support to Japanese Govern't as technical advisory group on goods/technologies

4.6 Export Control Research Committee (2)

I□ Policy, Rules and Procedures Board

1. Export Control Policy Committee
2. Rules and Procedures Committee
3. Foreign Regulations Study Committee



4.6 Export Control Research Committee

(3)

II. Goods and Materials Board

1. Committee on Dual-use Equipment for WMD
2. Advanced Materials Committee
3. Industrial Machinery Committee
4. Electronics Committee
5. Information Technologies Committee
6. Sensors, Lasers, Navigations & Avionics Committee

5. Pressing Issues on Export Controls

- ❖ **Generational changes and expansion of customer requirement**
- ❖ **Necessity of outreach to small and middle-sized companies**
- ❖ **Necessity of outreach to Universities and Labs.**
- ❖ **Good understandings and certification**

5.1 2008 New Program (1)

Practical Case Study Training

- ❖ For Beginners
- ❖ Target to small and middle-sized local companies
- ❖ Tokyo, Osaka, Matsumoto, Fukuoka, Sendai, Sapporo, Nagoya, Yokohama, Takaoka, Hiroshima
- ❖ More than 2,000 attendees
- ❖ Classification and License determination
- ❖ Consulting



5.2 2008 New program (2)

- ❖ Establishment of university membership
- ❖ Starting from March, 2009
- ❖ In the preliminary stages,
 - Conducting questionnaires and hearings with universities
 - On-site orientation in response to request



5.3 Certification Exams (1)

- ❖ Security Trade Control (STC) Certification Exam offered since 2004
- ❖ Lifting motivation
- ❖ Certificate of skills and knowledge
- ❖ Basic and Advanced level

Jun. '04 □

Associate (Basic)

Dec. '05 □

Expert
(Advanced)

Nov. '08 □

Legal Expert

5.3 Certification Exams (2)

❖ STC-Associate (Basic Level)

Three times in CY 2008

Examinees 1,845 persons
Passed 1,291 persons
Passing Rate 69%



❖ STC-Expert (Advanced Level)

Once in CY 2008

Examinees 468 persons
Passed 44 persons (Legal expert 63 persons)
Passing Rate 13%

Contact Information

- ❖ CISTEC English Top page

<http://www.cistec.or.jp/english/index.html>

- ❖ International Cooperation & Exporter Services

Thank You!