

# ***Policy Breakout Group***

---

## **Themes and topics**

- **Sessions**
- **Benefits of Multilateral Regime Adherence and Regional Organizations Adopting Multilateral Regime Commitments**
- **Moderator: Mustafa Yurdakul**
- **Presenters: Sune Danielsson, John Quinn, Joannah Leahy, László Stefan, Nicholas Organ**
  
- **Government-Industry Cooperation and Outreach**
- **Moderator: Elif Çomoğlu Ülgen**
- **Presenters: Angus Kirkwood, Holger Beutel, Hiroshi Nakao, Spencer Chilvers, Tom Andrukonis**
  
- **Investigation/Prosecution**
- **Moderator: Richard Stearns**
- **Presenters: Michael Gunnison, Clark Settles, Axel Krickow**

## **Benefits of Multilateral Regime Adherence and Regional Organizations Adopting Multilateral Regime Commitments**

- **Panelists stressed the high level of expertise that regimes possess in their respective areas resulting in a high level of confidence in the control lists**
- **Evidence of trust in the lists is the increased interest by non-members to adopt lists into their national legislation**
- **Lists are available to all states (members and non-members) and therefore are a cost effective, time saving, security enhancing, common global security good.**

## **Benefits of Multilateral Regime Adherence and Regional Organizations Adopting Multilateral Regime Commitments**

- **In addition to committing to continued outreach, regimes encouraged non-member states to engage regimes and their member states to have a dialogue about technical assistance and other issues (conference's like this is a good opportunity to that end)**
- **Discussion about options for widening regimes' membership and the importance of accelerating inclusiveness. In a perfect world the regimes would be UN conventions, but regimes are the best arrangement in current environment**
- **Regimes could benefit from further coordination resulting in resources being used more effectively (EU example of coordinating the lists)**

## **Benefits of Multilateral Regime Adherence and Regional Organizations Adopting Multilateral Regime Commitments**

- **Discussion on implications on voluntary nature of regimes in light of UNSC referencing regimes' lists in resolutions.**
- **Finally, discussants suggested the importance for regimes to strike a balance between outreach and listening to non-members' input and questions.**

# ***Government-Industry Cooperation and Outreach***

---



**10TH INTERNATIONAL  
EXPORT CONTROL  
CONFERENCE**

- **Participants suggested that export controls have “dual use”:  
security and facilitation of legitimate trade**
- **Effective Government-Industry outreach is crucial for both**
- **Group discussed the importance of reaching out to the scientific  
community because...**
- **Likely to be impacted by ITTs**
- **Lacking in knowledge about export controls (not a lot of legal  
scholars among scientists)**

# ***Government-Industry Cooperation and Outreach***

---



- **Throughout the session, a list of tools to use in outreach activities emerged (please suggest additions)**
- **Website and other web-based tools (youtube, online informational databases etc)**
- **Phone help line**
- **Listserv to inform companies when changes are made to export control system**
- **Workshops and seminars of varying levels (from basic export control seminars to more issue specific sessions)**
- **Respond to requests from industry for particular educational seminars and workshops**
- **Attend industry conferences**
- **On-site visits to gather information**
- **Actively seek feedback to improve outreach efforts**
- **Other ideas?**

# ***Government-Industry Cooperation and Outreach***

---



- **Discussion about importance of thinking critically about what actors to reach out to for most effective outcome. Target:**
- **Decision-makers such as senior level management (CEO, CFO)**
- **Company lawyers**
  
- **Penalties for industry export control non-compliance is heavily emphasized participants recognized, but positive reinforcement should be available for industry with good record (ICP etc). “Sticks and carrots” approach. Carrots can include:**
- **Bulk licensing**
- **Validated end-user program**
- **Allow inter-company transfers without a license**
- **Other suggestions?**

# ***Investigation/Prosecution***

---

- **Recognition among group participants that organized criminals today use a 21st century globalized business model**
- **National authorities, however, combat transnational crimes with 19th century law enforcement tools (Interpol, faxes, telephones, personal contacts, bilateral extradition treaties)**
- **Transnational criminals constantly seek to exploit loopholes and weaknesses in the system, increasing the risk of WMD proliferation exponentially**

# ***Investigation/Prosecution***

---

- **Antidote to 21st century transnational criminality is wider and deeper regional and international cooperation**
- **Best practices include model of EU Council mutual assistance in criminal matters**

# ***Investigation/Prosecution***

---

- **Session featured presentations on investigations and prosecutions that showcased that proliferation today is to a large extent not a state to state issue**
- **Private actors operate as intermediaries or receivers of illegal goods and know-how.**
- **Intermediaries likely do not care about what goods they are illicitly trading; financial rewards are the motivator**

***Thanks for your attention***