



Building Capacity for Strategic Trade Control Implementation

10th International Export Control Conference
Istanbul, Turkey



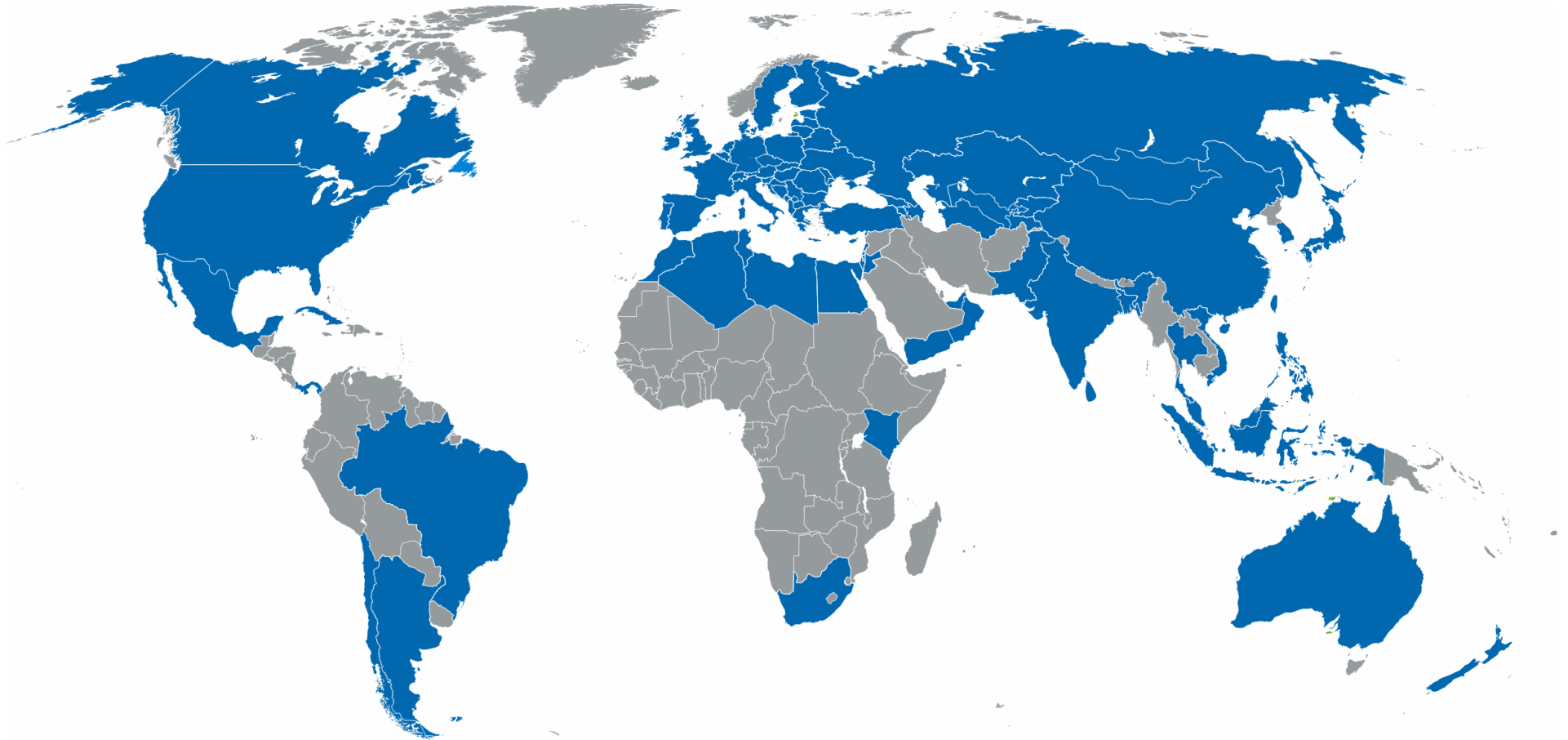
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- **The Center for International Trade and Security is a U.S. academic research institution established in 1987, presently part of the University of Georgia's School of Public and International Affairs**
 - **CITS focuses on research, training and outreach designed to strengthen universal nonproliferation norms and best practices**
 - Research
 - National Export Control Evaluations and related research: over 70 countries
 - WMD Security
 - Education and Training
 - International Export Control Academy
 - Capacity-building for foreign government officials
 - Outreach
 - Industry Outreach in Russia, China, and India
 - Legal Regulatory Outreach on Strategic Trade Controls

Research: National Evaluations



- **CITS researchers have developed a unique evaluation methodology for assessing national strategic trade control systems.**
- **Using versions of this methodology, CITS researchers have produced periodic assessments of strategic trade controls in over 70 countries.**
 - Assess legal and institutional basis, implementation, enforcement, and industry participation
 - Identify proliferation-relevant loopholes in strategic trade licensing and enforcement
 - Illustrate the chronological evolution of proliferation controls practices within particular states of concern
 - Make cross-national comparisons

CITS National Evaluations



Research: WMD Security



- **Our research efforts explore how personnel management, motivation, and training affect the security of WMD-related materials and technologies**
 - Published two research reports on the human element of security: Nuclear Security Culture: The Case of Russia (2004) and The Human Factor and Security Culture: Challenges to Safeguarding Fissile Materials in Russia (2002)
 - In fall of 2005, CITS organized a NATO Advanced Research Workshop titled “Nuclear Security Culture: From National Best Practices to International Standards” in Moscow, endorsed by the IAEA
- **Efforts designed to complement binding international commitments in nuclear safety, security, and nonproliferation, such as the Convention on the Physical Protection of Nuclear Material, and bilateral initiatives.**

Training: International Export Control Academy



- **Specialized two-week executive training course for international export control officials held in Athens, GA**
- **Course focuses on policy, legal basis, implementation, and enforcement aspects of strategic trade controls**
- **Course is modular by design, can be tailored to specific needs**
- **Course is focused on indigenous capacity building**
- **Next session of the Academy is planned for November, 2009**
- **Additional information is available at www.uga.edu/cits/academy.htm**

Outreach: Industry



- **The CITS researchers were the first to survey U.S. industry compliance with export controls and continue to engage key industry representatives on the subject**
- **Major industry outreach projects in Russia, China and India**
 - In Russia: heightening awareness of nonproliferation among the staff at nuclear facilities
 - In China and India: development of internal compliance programs and promotion of best practices
- **Series of workshops scheduled in China and India for 2009**
- **Last U.S.-based seminar for Chinese industry representatives was held in Washington DC in March 2009**



**STRATEGIC TRADE AND TECHNOLOGY CONTROL
(STTC)
COMPANY INTERNAL COMPLIANCE PROGRAM
(ICP)
GUIDANCE AND RESOURCE MANUAL**

January 2009

Industry Outreach Case Study - China



- In cooperation with our Chinese partner, the China Arms Control & Disarmament Association (CACDA), we have conducted over a dozen enterprise outreach events across China since 2004.
- Since 2006, we have been working with Norinco to develop an ICP and conduct strategic trade control training for company officials across the industry group.



Enterprise Outreach Event, Beijing, April 2009



Enterprise Outreach Event, Kunming, September 2006

Industry Outreach Case Study - India



- In cooperation with our Indian partners, the Confederation of Indian Industry (CII) and the Federation of Indian Chambers of Commerce and Industry (FICCI) we have conducted five enterprise outreach events across India since 2005.
- Enterprise outreach events in Bangalore, Delhi, Mumbai, and Hyderabad are planned for summer and fall 2009.



Enterprise Outreach Event, Chennai, May 2008



Enterprise Outreach Event, Delhi, April 2006

Legal-Regulatory Outreach



- Seeks to facilitate understanding of export controls and nonproliferation issues within legislative and executive bodies of key supplier, transit and transshipment countries
- Since 2001, CITS has worked with the U.S. Congress and a number of emerging legislatures in Russia, the Balkans, Central Asia, the Caucasus, South East Asia, and North Africa
- Imparts practical knowledge about development and implementation of effective legal bases for strategic trade controls through briefings, parliamentary exchanges, publications, and Congressional testimony
- Contributed to passage or update of export control regulations in 5 countries
- Most recently, Philippine Export Control Legal-Regulatory Workshop held in February 2009 in Manila
- Similar events are planned for Algeria, Morocco, Chile, Oman, and Vietnam in summer-fall 2009

CITS Export Control Resources



- Web
- Books
- Issue Briefs
- Gov't briefings
- Consulting
- Students

The screenshot shows the CITS website homepage. At the top, it features the University of Georgia logo and navigation links for Home, Programs, Publications, Events, News, and Contact. The main header includes the CITS logo and the text "SCHOOL FOR PUBLIC & INTERNATIONAL AFFAIRS" and "CENTER FOR INTERNATIONAL TRADE & SECURITY". Below the header, there are several news articles and sections. The "NEWS" section includes articles titled "RISKS FROM RUSSIAN NUCLEAR CITIES" and "CHINESE STRATEGIC TRADE CHALLENGES". The "UPCOMING AC ACADEMY" section mentions the "KOREA INSTITUTE FOR DEFENSE ANALYSIS SCHOLAR AT CITS". The "EVENTS & COLLABORATIONS" section lists various partners and events. At the bottom, there are sections for "PUBLICATIONS", "COLLABORATIONS", and "EVENTS".



Conclusion: Role of NGOs in Strategic Trade Control Implementation



NGOs can support trade control implementation by:

1. Assessing WMD proliferation risks nationally, regionally, and globally.
2. Promoting public, industry and government awareness of threats and the need for a response
 - Participation in the Asian Export Control Seminar in Japan
 - Participation in the Annual International Export Control Conference
 - Participation in the PSI outreach activities
3. Providing timely, tailored assistance on developing legislation, regulations, and best practices in export/import/transit controls to legislative, executive, and industry stakeholders *while emphasizing economic development and trade facilitation.*
4. Facilitating international, regional and sub-regional efforts to address proliferation dangers
 - E.g., Work with 1540 Committee
 - E.g., Work with individual states or regions to develop assessments of WMD proliferation risks
5. Working directly with industry to ensure increased awareness and compliance.

Thank you



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- **Questions?**
 - **Contact Information:**

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