



# **THE REPUBLIC OF KAZAKHSTAN NATIONAL EXPORT CONTROL SYSTEM**



## Основные цели и принципы экспортного контроля

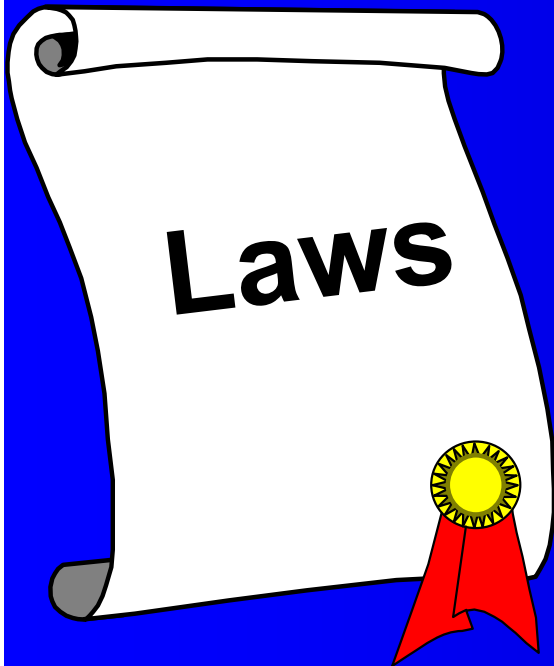
### Main objectives:

- National security protection;
- Enhancement of non-proliferation regime for mass destruction weapons;
- Assistance in establishing a stable and reliable system of international relations;
- Coordinating efforts and cooperation with international organizations and foreign nations in the area of export control.

### Main principles:

- Observance of international obligations related to non-proliferation of mass destruction weapons, its delivery vehicles and other weapons and military hardware;
- Priority of political interests in exercising export control;
- Availability of information related to laws on export control;
- Inadmissibility of support of international terrorism and extremism;
- Export control regulations and procedures compliance with generally recognized international norms and practice.

# Main export control laws



- 1. Law No 300 of the Republic of Kazakhstan “On Export Control” of 21 July 2007
- 2. Law No 339-I of the Republic of Kazakhstan “On the state control of the certain types of weapon turnover” of 30 December 1998
- 3. Law No 214 of the Republic of Kazakhstan “On Licensing” of 11 January 2007

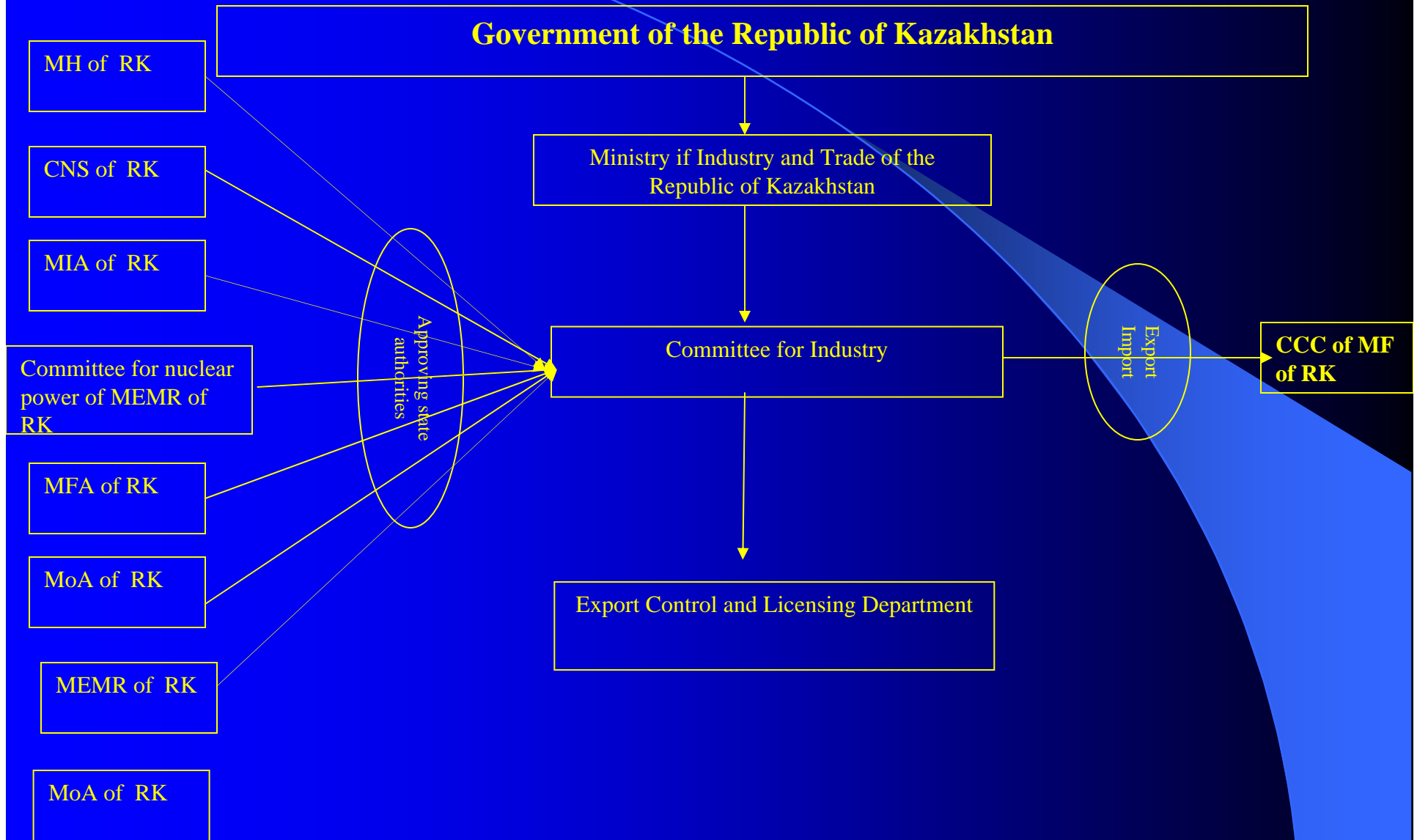
## Legal framework for export control

- Resolution of the Government of the Republic of Kazakhstan of 12 June 2008, No 578 “On Approval of the Rules for licensing export and import of goods, including products subject to export control, and activity upon automatic licensing of certain imported goods, qualification requirements to the licensing activity and List of exported and imported goods subject to licensing”;
- Resolution of the Government of the Republic of Kazakhstan of 5 February 2008, No104 “On Approval of the List of Goods Subject to Export Control”;
- Resolution of the Government of the Republic of Kazakhstan of 12 March 2008, No 244 “ On Approval of the Rules for execution of guarantee obligations of importers (end customers) and their audits”;

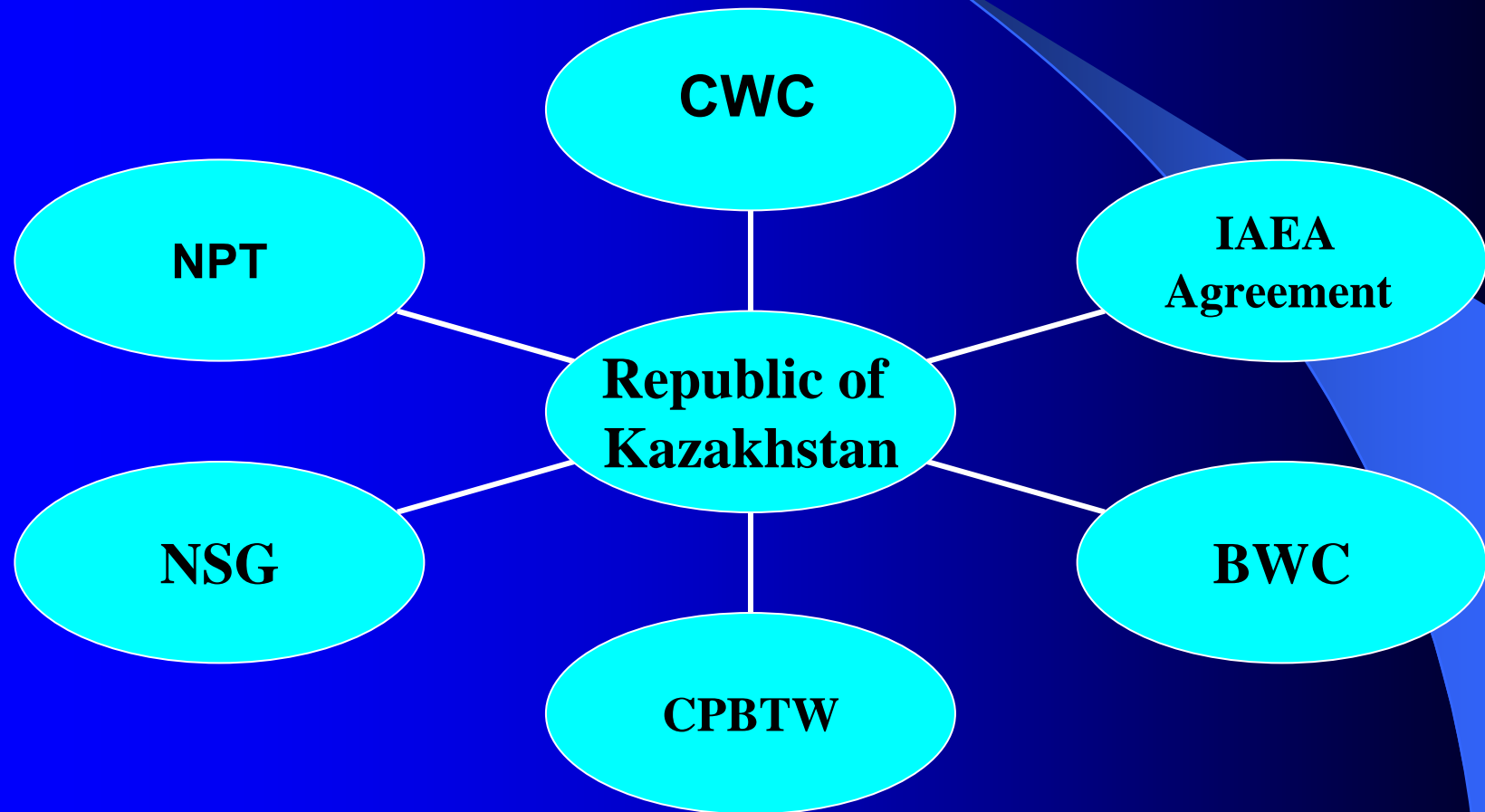
## Legal framework for export control

- Resolution of the Government of the Republic of Kazakhstan of 11 February 2008, No 130 “On Approval of the Rules for authorisation of transit”;
- Resolution of the Government of the Republic of Kazakhstan of 25 January 2008, No 70 “On Approval of the Rules for authorisation of processed goods subject to export control, outside customs territory of the Republic of Kazakhstan”.
- Resolution of the Government of the Republic of Kazakhstan of 14 December 1999, No 1917 “On modernisation of the export control system in the Republic of Kazakhstan”.

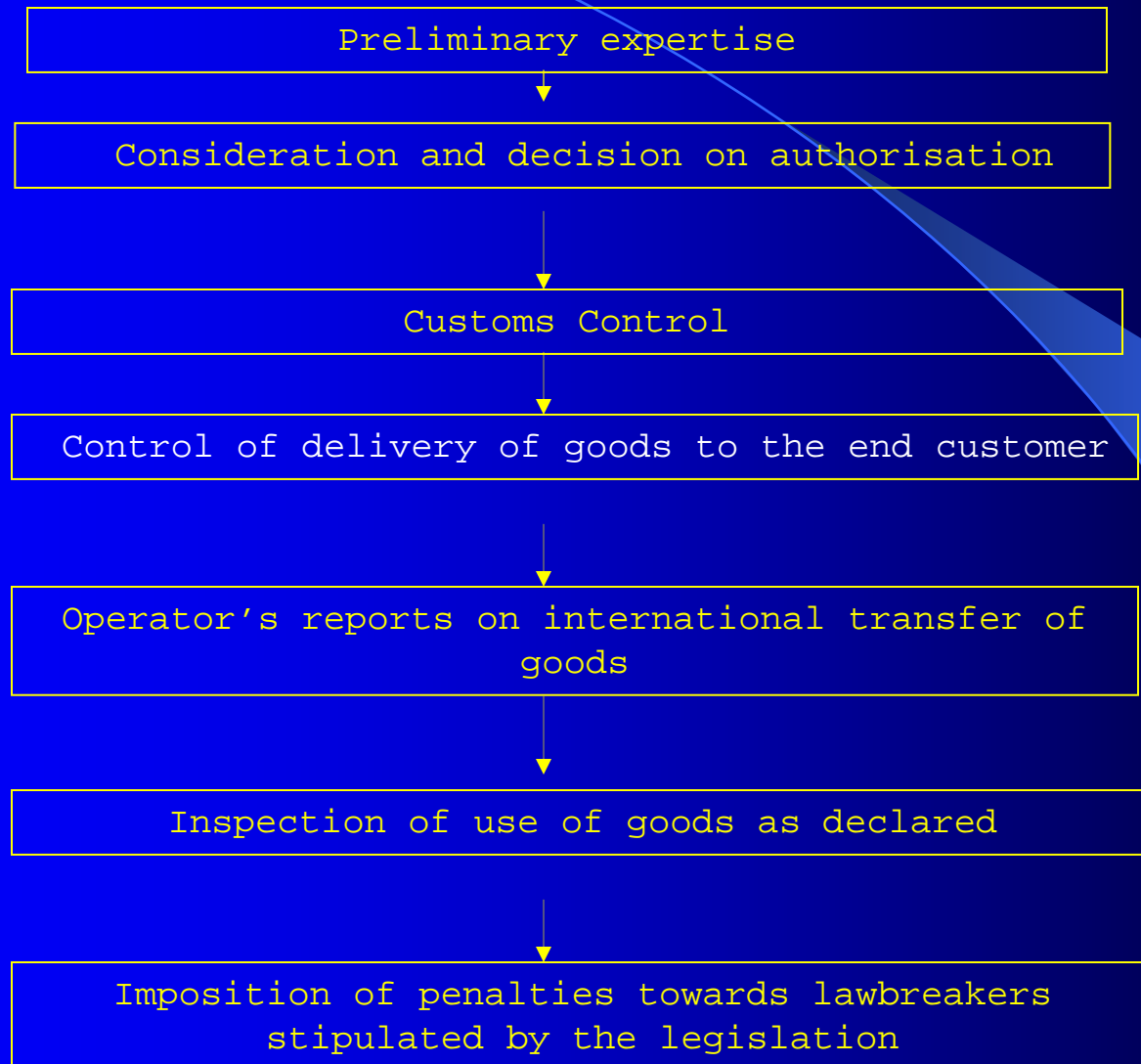
# Export control scheme in the Republic of Kazakhstan



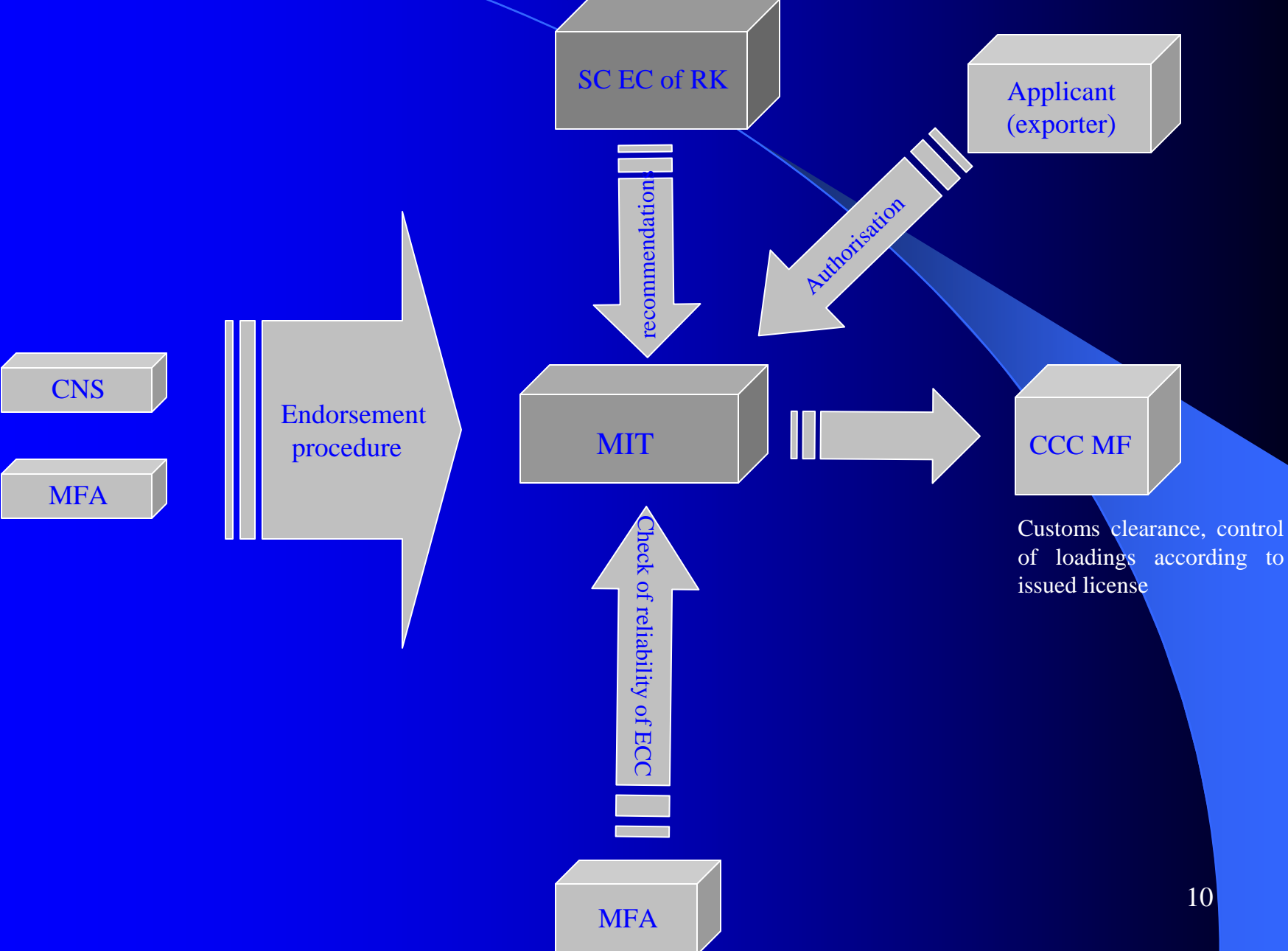
# Brief overview of the Republic of Kazakhstan participation in international disarmament agreements



## Main state export control procedures when export of twofold purpose goods



# Procedure of approval for export of goods subject to export control



## **Issues to be addressed by State Export Control Commission**

- ✓ **Facilitation of export control procedures in the Republic of Kazakhstan**
- ✓ **State and funding of automated export control system in the Republic of Kazakhstan**
- ✓ **Proposed trainings, conferences and development of guidelines regarding export control for the staff of public authorities and companies producing, exporting and importing products subject to export control**

# **Transit of military-related goods through the territory of the Republic of Kazakhstan**

- **The transit of goods for military purposes through the territory of the Republic of Kazakhstan requires permission from the Committee for Industry of the Ministry of Industry and Trade of the Republic of Kazakhstan, coordinated with the Ministry of Defence**
- **The samples of decisions are sent to the Committee of Customs Control of the Ministry of Finance of the Republic of Kazakhstan, as well as to the authorized agency on export control of an applicant country**
- **The transit of military-related goods can be carried out on condition that the involved party has financial guarantees and ensures physical protection in conformity with the legislation and international agreements of the Republic of Kazakhstan**

## Intercompany Program of Export Control (IPEC)

### Creation of IPEC is obligatory

for organizations that deal with scientific and (or) manufacturing activity satisfying state needs in maintenance of the country's defense capacity and safety, as well as those that systematically get income from goods and technologies that are subject to export control

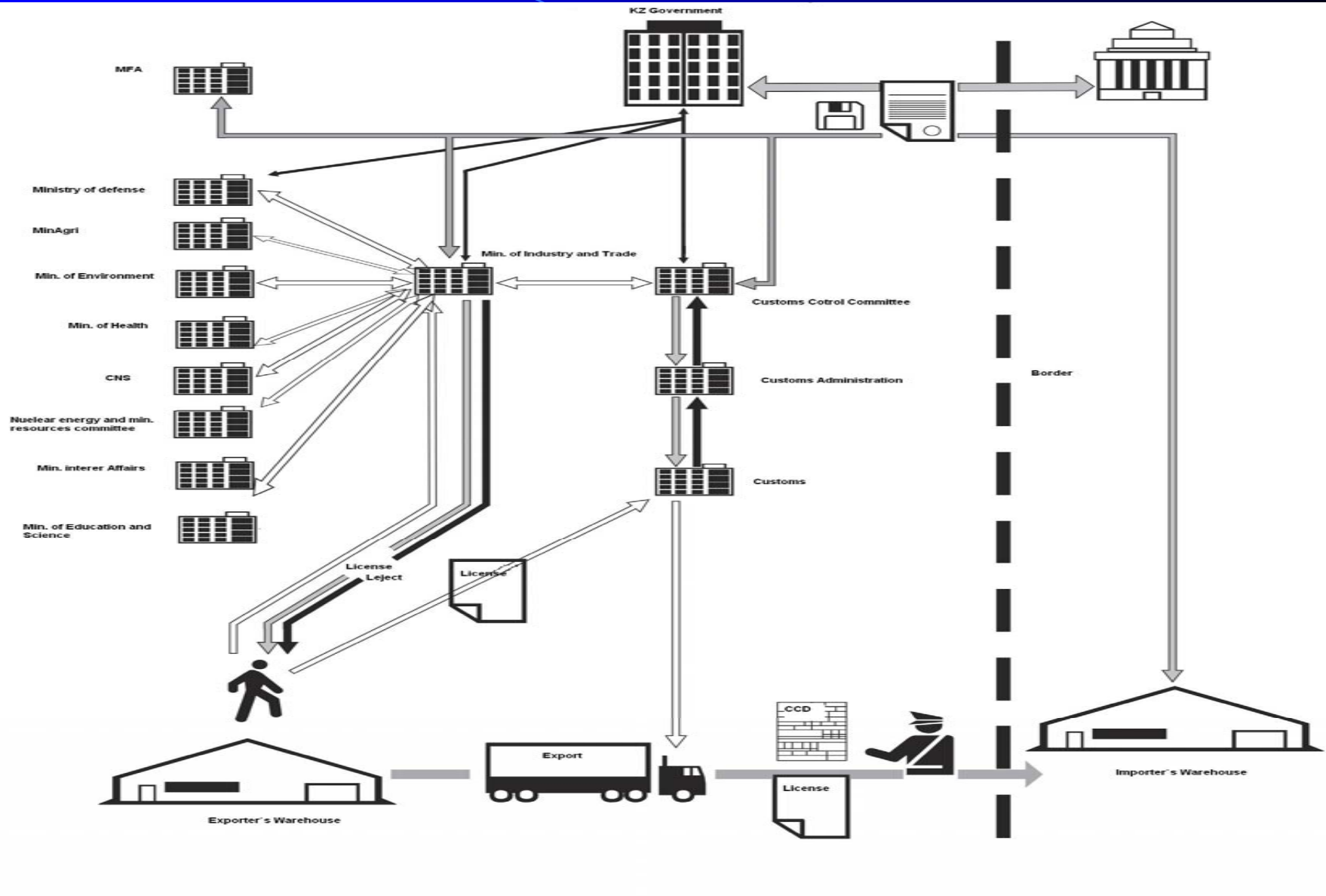
## The goal of creating IPEC

The major goal of creating IPEC – is to form a mechanism of inspections and guarantees of legitimacy of export transactions in order to encourage more effective management of companies' international economic activities, the simplification of the procedures required to get export licenses and other permits, as well as to prevent illegal, unauthorized export.

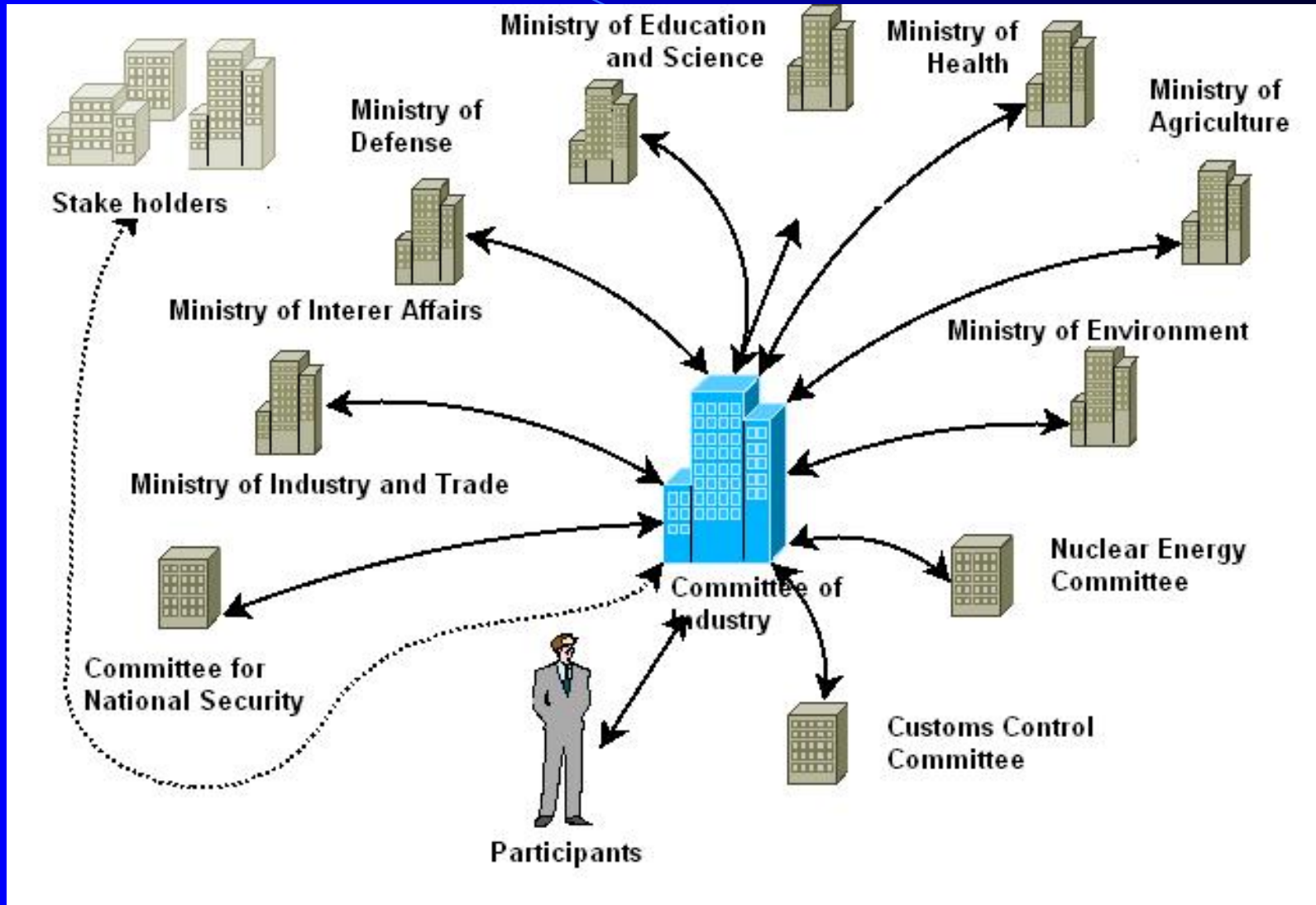
# **Automated Export Control System (AECS)**

- Automated Export Control System is used to arrange processes of export control management
- Centralized automated input, processing, search and analysis of information on export/import of products
- Scalable government systems integration mechanism

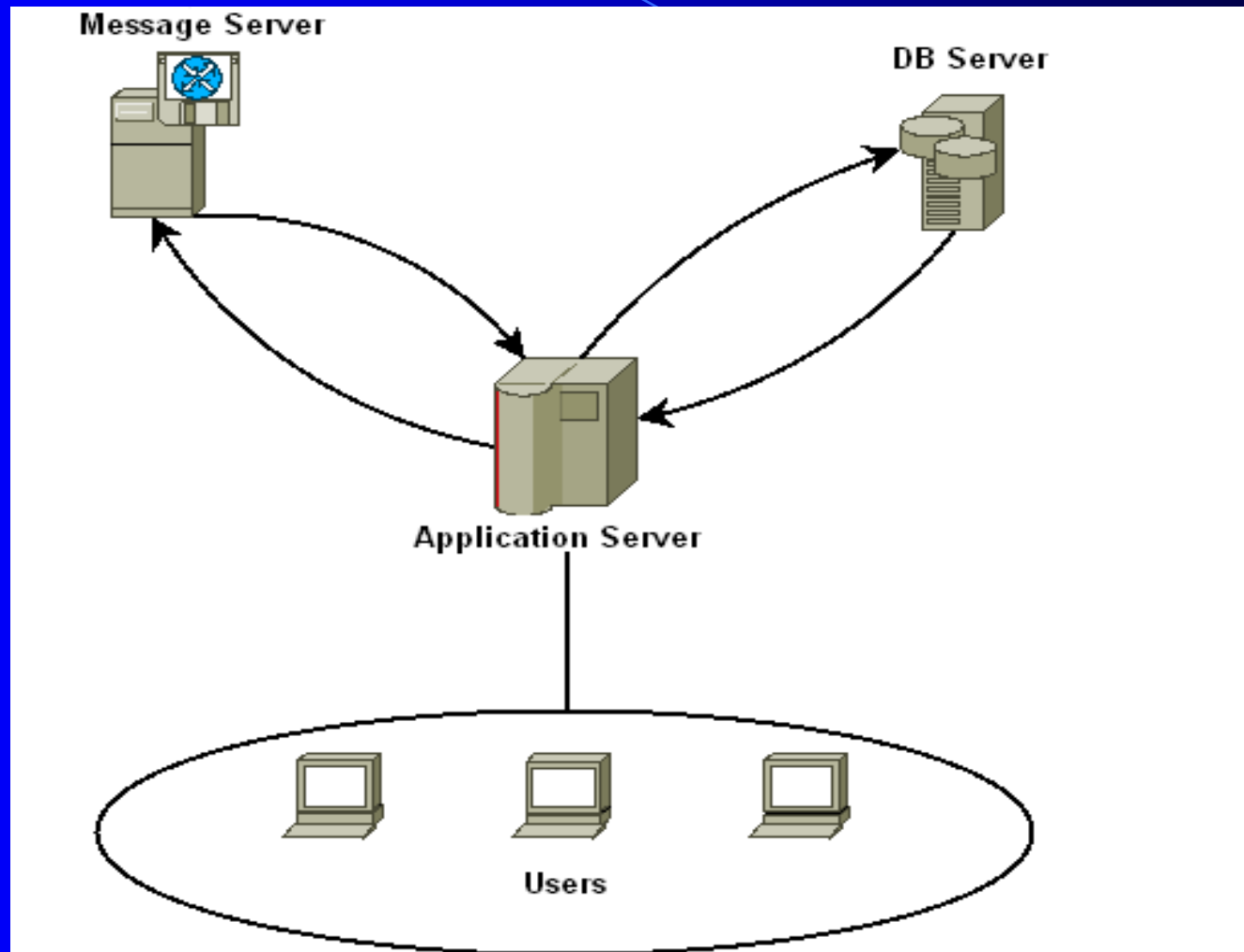
# Organizational Chart



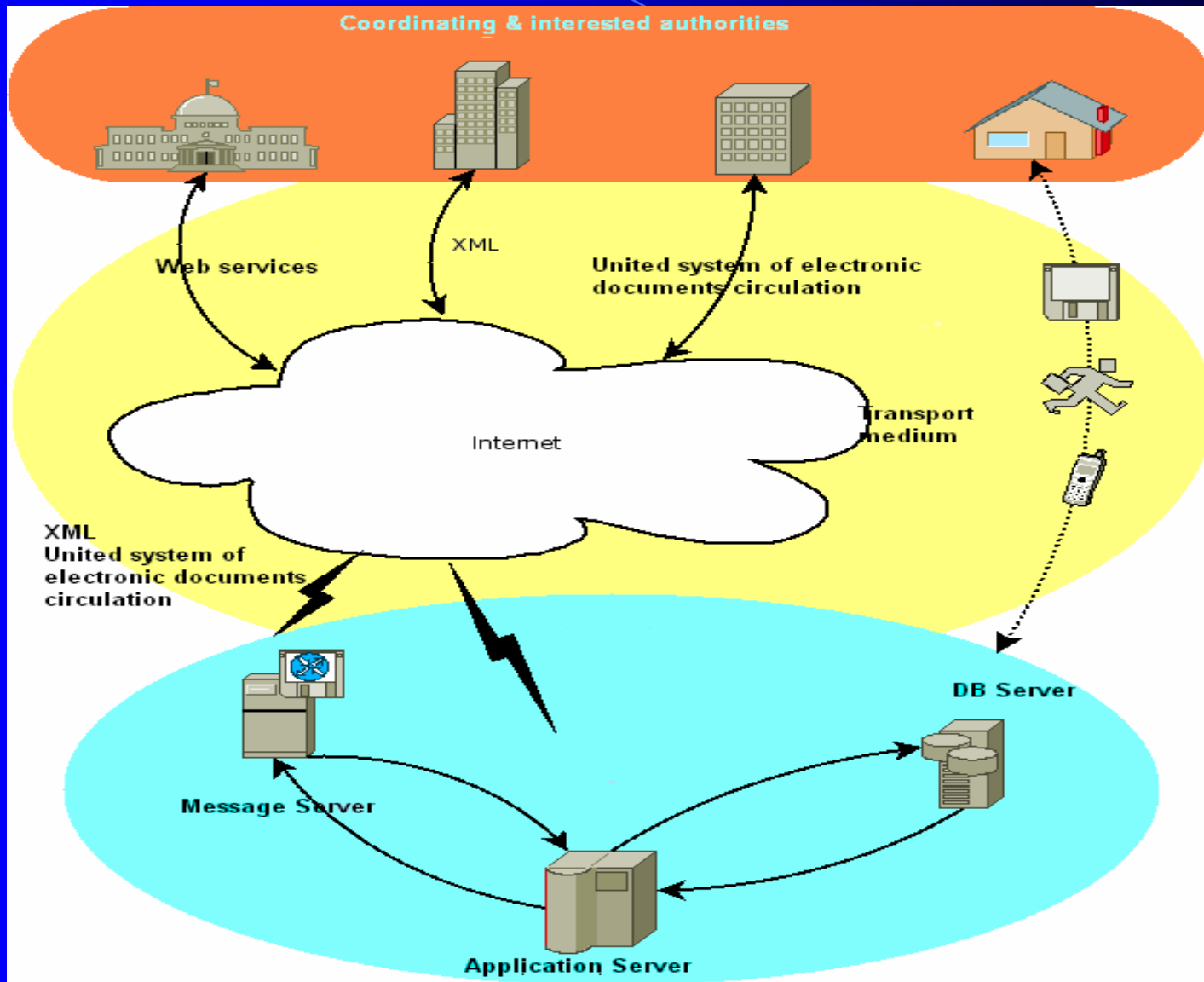
# Project Participants



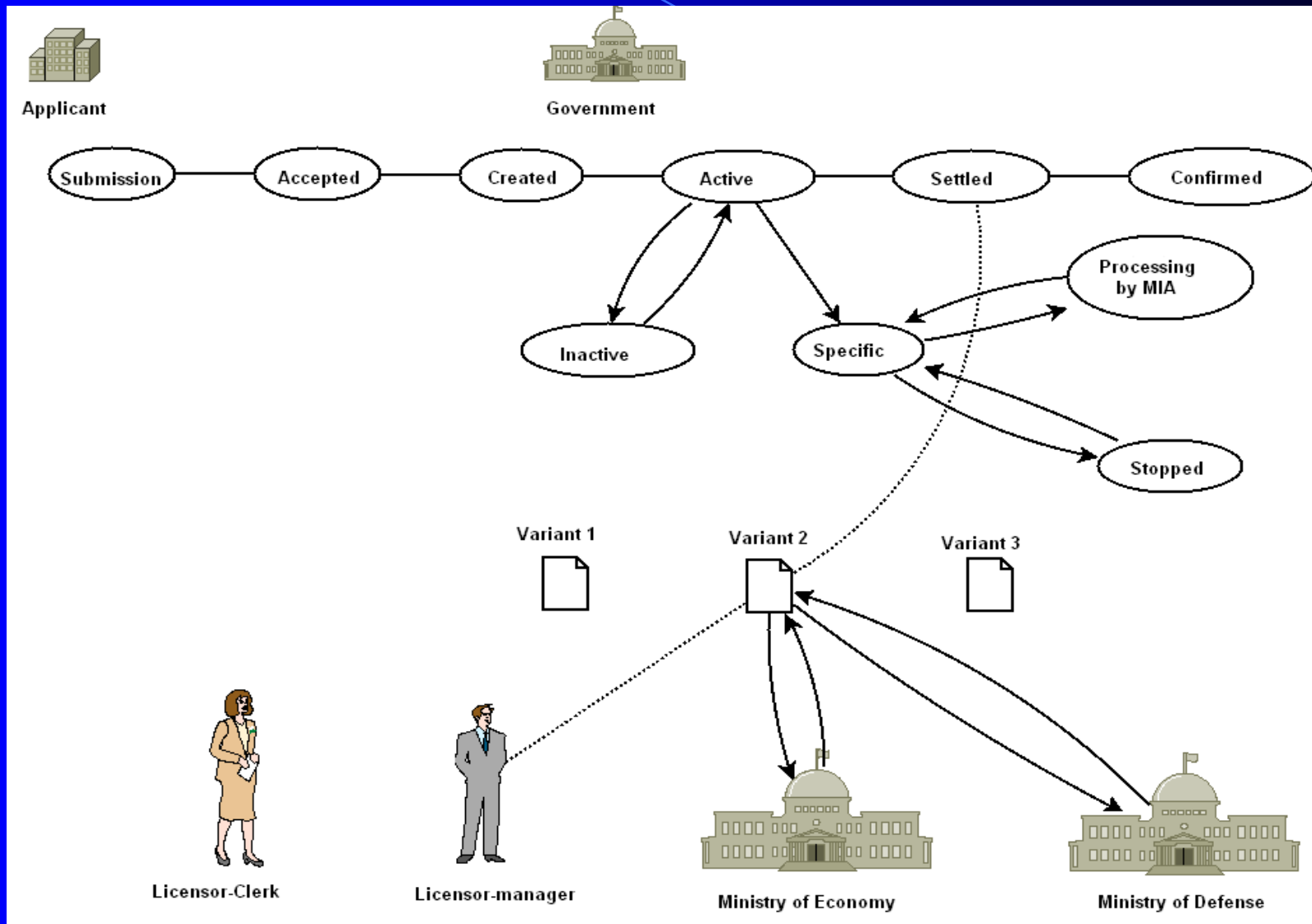
# System Structure



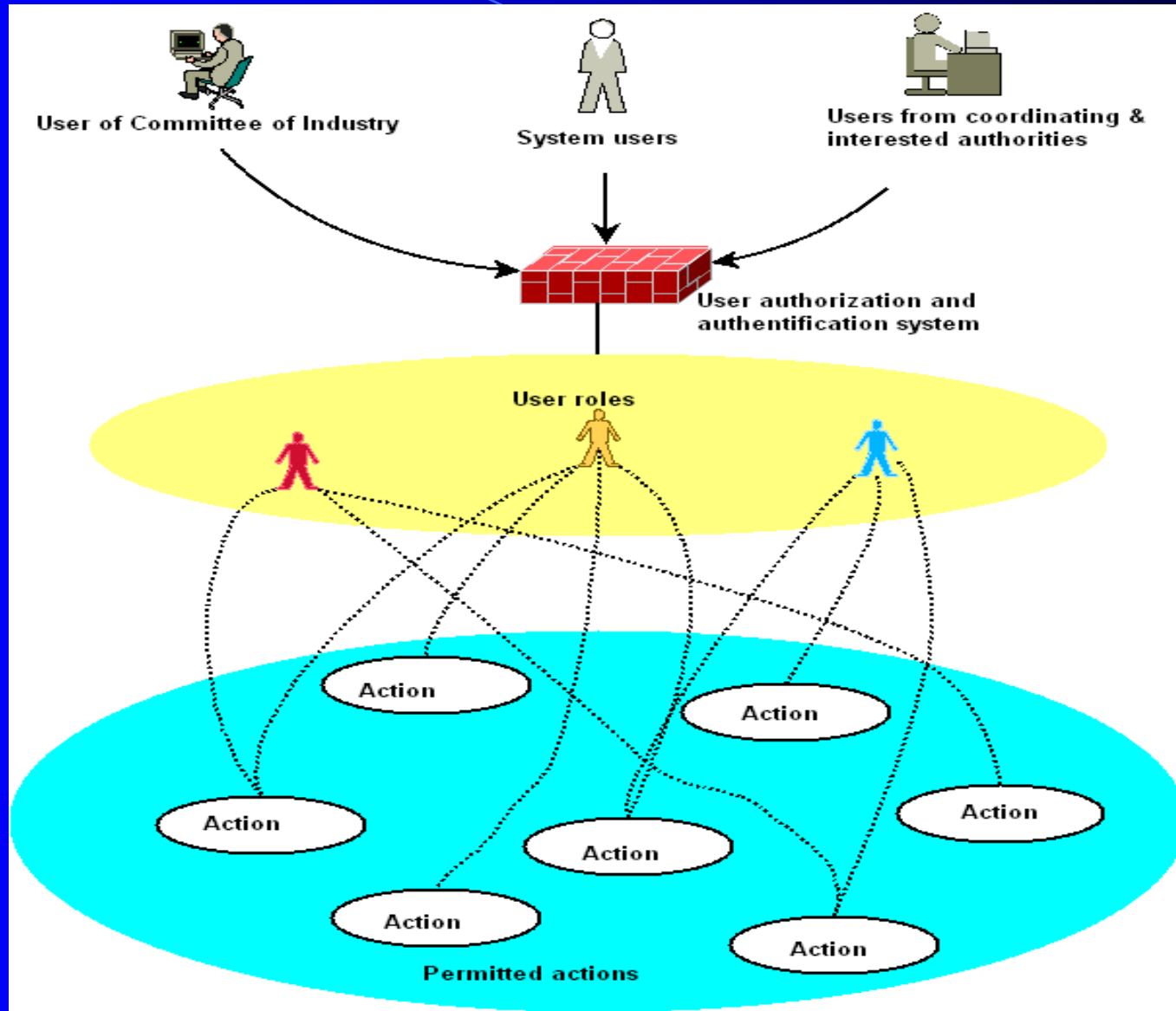
# Interaction with coordinating and interested authorities



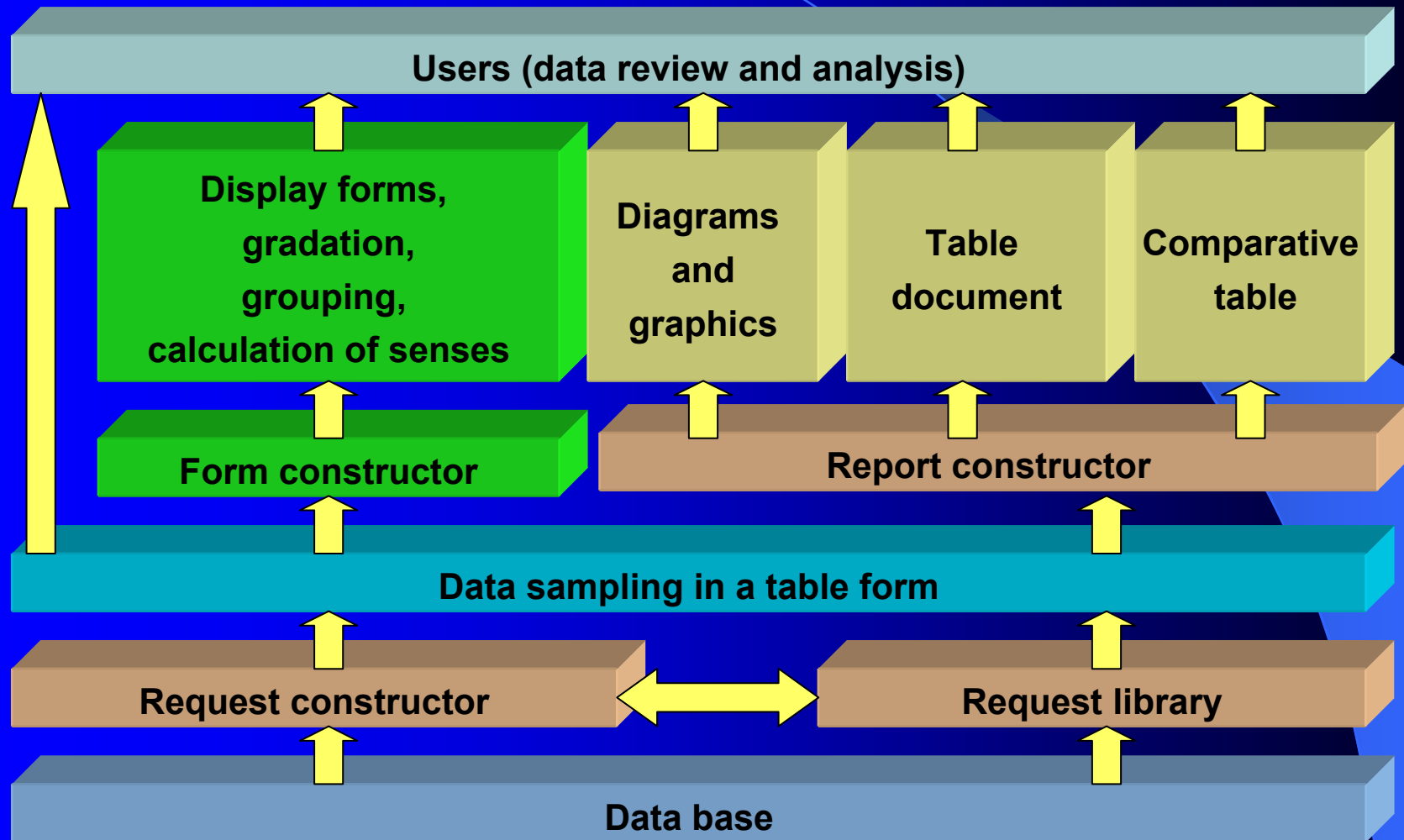
# Business processes setup and adjustment



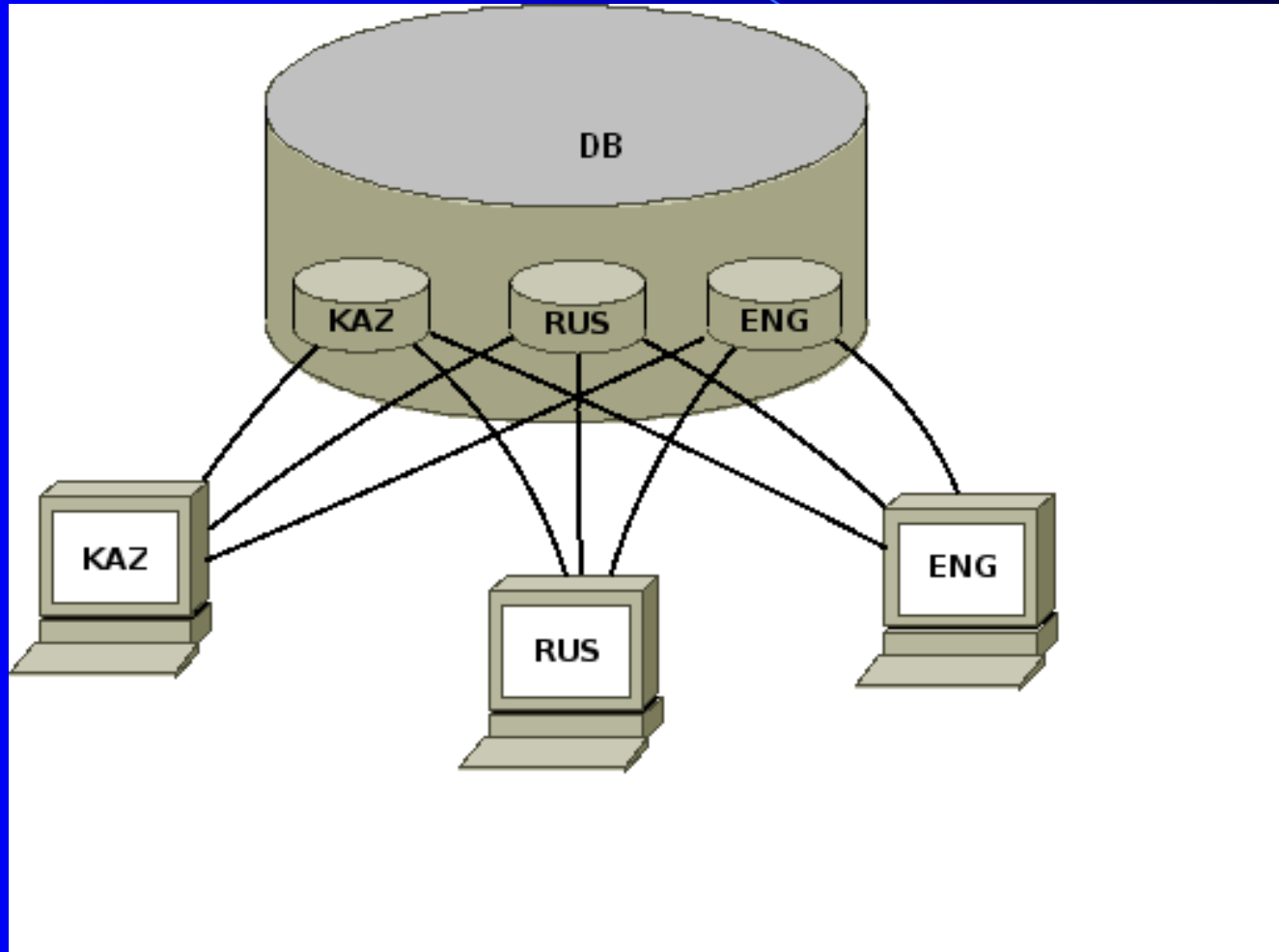
# Security System



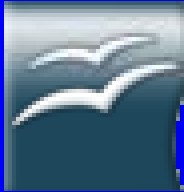
# Request building system



## Independent interface and data localization



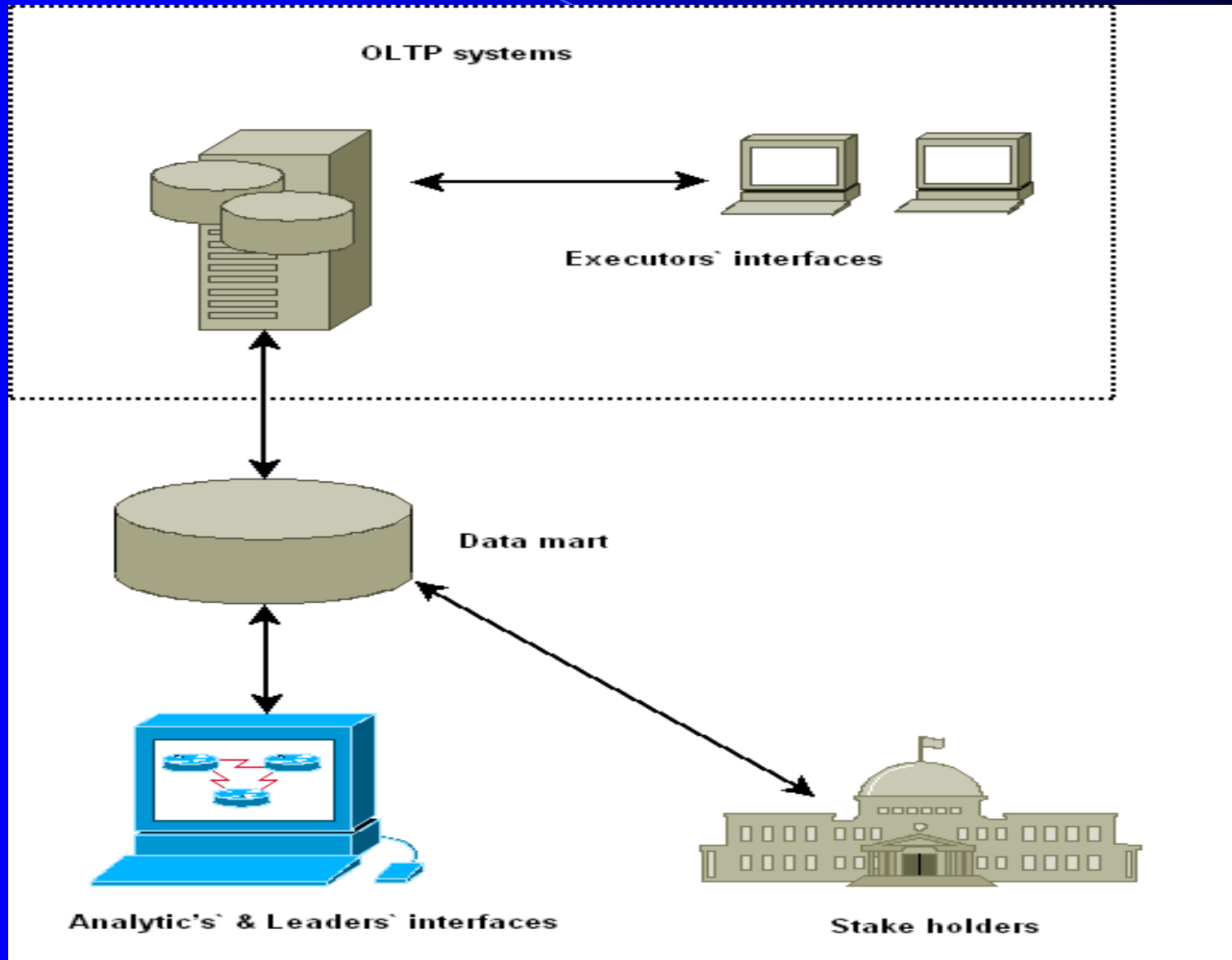
## Various Office programs integration formats



Text/plain



# Multivariate data processing





# Independence from particular DBMS and OS

ORACLE

Microsoft  
SQL Server

MySQL



Informix  
SOFTWARE  
The database company



Windows

Mac OS



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**Thank you for your attention!**